Transmittal Form w/o Declaration

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. MAM-007 Total Pages

First named Inventor or Application Identifier Hiromasa YAGI

Title of Invention METHOD FOR FABRICATING ELECTRO

METHOD FOR FABRICATING ELECTRODE FOR LITHIUM SECONDARY BATTERY

APPLICATION ELEMENTS ADDRESS TO: **Assistant Commissioner for Patents** See MPEP chapter 600 concerning utility patent application **Box Patent Application** contents. Washington, DC 20231 1. ■ Fee Transmittal Form 6. ☐ Microfiche Computer Program (Appendix) 2. Specification [Total Pages 26] 7. Nucleotide and/or Amino Acid Sequence Submission - Descriptive title of the Invention (if applicable, all necessary) - Cross References to Related Applications a. Computer Readable Copy - Statement Regarding Fed sponsored b. ☐ Paper Copy (identical to computer copy) c. ☐ Statement verifying identity of above copies - Reference to Microfiche Appendix - Background of the Invention ACCOMPANYING APPLICATION PARTS - Brief Summary of the Invention 8. ☐ Assignment papers (cover sheet & document(s)) - Brief Description of the Drawings 9. ☐ 37 CFR 3.73(b) Statement (if filed) (When there is an assignee) - Detailed Description ☐ Power of Attorney - Claim(s) 10. ☐ English Translation Document (if applicable) - Abstract of the Disclosure 11. Information Disclosure Statement (IDS) /PTO-3. Formal Drawing(s) (35 USC 113) [Total Sheets 5] 1449 4. □ Oath or Declaration [Total pages *] ☐ Copies of IDS Citations (* docs) a. Newly executed (original or copy) 12. ☐ Preliminary Amendment with Version with b. Copy from a prior application Markings to Show Changes Made (37 CFR 1.63(d)) 13. Return Receipt Postcard (MPEP 503) (for continuation/divisional with Box 17 completed) 14. ☐ Assertion to Entitlement to Small Entity Status [Note Box 5 below] ☐ Assertion filed in prior application, status i. DELETION OF INVENTOR(S) still proper and desired Signed statement attached deleting 15. ☐ Certified Copy of Priority Documents inventor(s) named in the prior ☑ Priority of application Nos. 2000-366876 and application, see 37 CFR 1.63(d)(2) 2001-008692, filed on December 1, 2000, and and 1.33(b). January 17, 2001, respectively, in Japan is 5. ☐ Incorporation By Reference (useable if Box 4b is claimed under 35 USC 119. checked) ☐ The certified copy has been filed in prior The entire disclosure of the prior application, from application Serial No. 08/*. which a copy of the oath or declaration is supplied 16. ☐ Other: *

under Box 4b, is considered as being part of the disclosure of the accompanying application and is

hereby incorporated by reference therein.

OTHER

17. ☐ Priority of * Patent Application No. * filed * is claimed under 35 USC 119.

| ☐ Continuation | on 🗆 Divisional | ☐ Continuation-in part (CIP) of prior application No.: | | | |
|----------------|------------------------|--|----------------|-----------------|--------------|
| | 18. (| CORRESPON | IDENCE ADDRESS | | |
| □ Customer Nur | nber or Bar Code Label | 020374 | or Correspo | ondence address | below |
| NAME | KUBOVCIK & KUBOVCIK | | | | |
| ADDRESS | 900 17th Street, N.W. | | | | |
| CITY | Washington | STATE | DC | ZIP CODE | 20006 |
| FILING DATE | November 29, 2001 | TEL | 202-887-9023 | FAX | 202-887-9093 |

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:



FEE TRANSMITTAL

Note: Effective October 1, 2001

| Application Number | 09/ | | |
|------------------------|-------------------|--|--|
| Filing Date | November 29, 2001 | | |
| First Named Inventor | Hiromasa YAGI | | |
| Group Art Unit | Not Assigned | | |
| Examiner Name | Not Assigned | | |
| Attorney Docket Number | MAM-007 | | |

| CLAIMS AS FILED-PART 1 | | | SMALL ENTITY | | OTHER THAN SMALL ENTITY | |
|--|------------------|--------------|--------------|----------|----------------------------|----------|
| FOR | NUMBER FILED | NUMBER EXTRA | RATE | FEE | RATE | FEE |
| BASIC FEE (37 CFR 1.16 (a)) | | | | \$370.00 | | \$740.00 |
| TOTAL CLAIMS (37 CFR 1.16 (c)) | <u>13</u> - 20 = | | \$9.00 | | \$18.00 | \$0.00 |
| INDEPENDENT CLAIMS (37 CFR 1.16 (B)) | <u>2</u> - 3 = | | \$42.00 | | \$84.00 | \$0.00 |
| MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16 (d)) | | | \$140.00 | | \$280.00 | |
| | | | SUB TOTAL | | SUB TOTAL | \$740.00 |
| SURCHARGE-LATE FILING FEE OR DECLARATION | | | \$65.00 | | \$130.00 | |
| RECORDING ASSIGNMENT | | | \$40.00 | | \$40.00 | |
| TOTAL | | | | \$0.00 | | \$740.00 |

METHOD OF PAYMENT

Payment Deferred

| SIGNATURE OF ATTORNEY, OR AGENT | | | | | |
|---------------------------------|--|------------------|--|--|--|
| NAME | Keiko Tanaka Kubovcik | REGISTRATION No. | 40,428 | | |
| SIGNATURE | Kain | ADDRESS | KUBOVCIK & KUBOVCIK 900 17th Street, N.W. Washington, D.C. 20006 | | |
| | The state of the s | TELEPHONE | 202-887-9023 | | |
| DATE | November 29, 2001 | FAX | 202-887-9093 | | |

KTK/emd